FORM PTO-1390 (REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY 'S DOCKET NUMBER

2261.0030000

TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 To BULLIE 20191 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/03736 26 March 2003 29 March 2002 TITLE OF INVENTION Novel Polyesterified Block Copolymer And Process For Producing The Same APPLICANT(S) FOR DO/EO/US Takashi SAWAGUCHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). | X | has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. X have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11.  $\square$ 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. □ 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. X Other items or information: 1. Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. 1.136(a)(3); Abstract on a separate sheet: 3. PTO-2038 Credit Card Payment Form; Copy of Published International Appl. No. PCT/JP03/03736, Int'l. Publication No. WO 03/082957; and

5. Two (2) Return Postcards.

U.S. APPLICATION NO. (if NATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 2261.0030000 PCT/JP03/03736 To Be Assigned CALCULATIONS PTO USE ONLY 21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO...... \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the ÉPO or JPO ...... \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ...... \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ...... \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ...... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = 920.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months \$ from the earliest claimed priority date (37 CFR 1.492(e)). 130.00 \$ **CLAIMS** NUMBER FILED NUMBER EXTRA **RATE** \$ Total claims x \$18.00 20 0 0 \$ Independent claims x \$86.00 4 86.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$290.00 \$ TOTAL OF ABOVE CALCULATIONS = 1,136.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above \$ are reduced by 1/2. \$ SUBTOTAL = 1,136.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ TOTAL NATIONAL FEE \$ 1,136.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ TOTAL FEES ENCLOSED 1,136.00 Amount to be refunded: charged: a. A check in the amount of \$ \_\_\_\_\_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. \_\_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: **CUSTOMER NUMBER 26111** Sterne, Kessler, Goldstein & Fox P.L.L.C. Edward J. Kessler NAME 25,688 REGISTRATION NUMBER

DT09 Rec'd PCT/PTO

## 10/509124

## DT09 Rec'd PCT/PTO 28 SEP 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takashi SAWAGUCHI

Appl. No.: To Be Assigned

Filed: September 28, 2004

For: Novel Polyesterified Block Copolymer and Process for

**Producing the Same** 

Confirmation No.: To Be Assigned

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 2261.0030000

Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Edward J. Kessler

Attorney for Applicant Registration No. 25,688

Date:

9, 28,04

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